

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of: Mule', et al.

Group No.: 2874

Serial No.: 10/074,420

Docket No. 62004-1880

Filed: February 11, 2002

For: **GUIDED-WAVE OPTICAL INTERCONNECTIONS EMBEDDED WITHIN A MICROELECTRONIC WAFER-LEVEL BATCH PACKAGE**

**RESPONSE TO MISSING PARTS NOTICE**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, dated April 10, 2002, please find enclosed:

1. Declaration;
2. Substitute Drawings;
3. a copy of the Notice to File Missing Parts of Application, Filing Date Granted;

and

4. a check in the amount of \$130.00 to cover the additional surcharge fee for filing this Response to the Missing Parts Notice.

The Commissioner is authorized to charge Deposit Account 20-0778 for any deficiencies or credit any over payments.

Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

By: 

Scott A. Horstemeyer; Reg. No. 34,183

Suite 1750, 100 Galleria Parkway  
Atlanta, Georgia 30339-5948  
(770) 933-9500



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/074,420	02/11/2002	Tony Mule'	62004-1880

MAY 31 2002

CONFIRMATION NO. 6999

24504  
THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP  
100 GALLERIA PARKWAY, NW  
STE 1750  
ATLANTA, GA 30339-5948

## FORMALITIES LETTER



\*OC00000007843549\*

Date Mailed: 04/10/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/03/2002 SWINASS1 00000039 10074420

01 FEB 105

130.00 OP

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.) (see 37 CFR 1.84(u)(1));

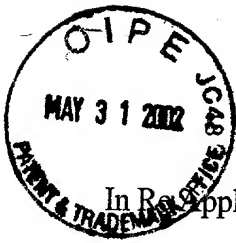
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

THOMAS, KAYDEN  
HORSTEMEYER & RISLEY, LLP

APR 15 2002

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of: Mule', et al.

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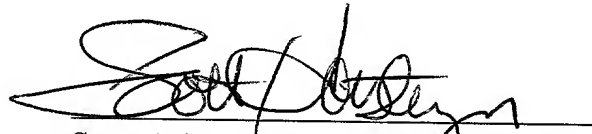
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Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**TRANSMITTAL OF FORMAL DRAWING(S) PRIOR TO NOTICE OF ALLOWANCE**

Attached please find the formal drawings for this application ( 40 Pages)

Telephone No. (770) 933-9500

  
Scott A. Horstemeyer, Reg. No. 34,183

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**  
100 Galleria Parkway, Suite 1750  
Atlanta, Georgia 30339-5948

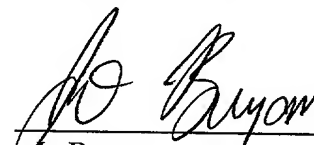
NOTE: According to 37 CFR 1.84(l), identifying indicia (such as the attorney's docket number, inventor's name, number of sheets, etc.) not to exceed 2 3/4 inches may be placed in a centered location between the side edges within three-fourths inch of the top of the edge. Either this marking technique on the front of the drawing or the placement of this information on the back of the drawing is accepted. However, for identification of the application number, the PTO prefers that it be placed on the front. Notice of September 30, 1986 91070 O.G. 47-59, 54-5).

**CERTIFICATE OF MAILING (37 CFR 1.8)**

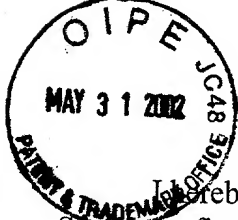
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope to the: Assistant Commissioner For Patents, Washington, D.C. 20231.

Date:

5/14/02

  
Jo Bryan

DrawingsBeforeNOA



MS

CERTIFICATE OF MAILING

MPB

I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents

Box: Missing Parts  
Washington, D.C. 20231

on May 14, 2002

Jo Bryan  
Jo Bryan

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MissingPartsResp